

PTO/SB/21 (09-04)

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/734,514
Filing Date	12-12-2003
First Named Inventor	Esch
Art Unit	2873
Examiner Name	Unassigned
Attorney Docket Number	PV-1020

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard Copy of Foreign Patent Citation
<input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Luce, Forward, Hamilton & Scripps LLP		
Signature			
Printed name	Nicola A. Pisano		
Date	November 22, 2004	Reg. No.	34,408

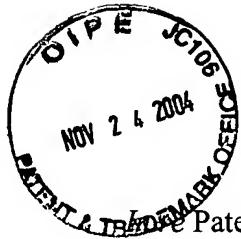
## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Leigh A. Coleman	Date	11-22-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Atty. Docket No.: PV-1020

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

~~CONFIDENTIAL~~ Patent Application of: ) Group Art Unit: 2873  
Victor Esch )  
Serial No.: 10/734,514 )  
Filed: December 12, 2003 )  
For: ACCOMMODATING )  
INTRAOCULAR LENS SYSTEM )  
AND METHOD )

San Diego, California

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT UNDER 1.97 (b)**

Dear Sir or Madam:

In accordance with 37 C.F.R. § 1.56, Applicant makes the documents, listed on the enclosed form PTO/SB/08, of record in the above-identified application. In accordance with 37 C.F.R. § 1.97, this statement is not to be construed as a representation that a search has been made, and is not a representation that the information cited is effective as prior art to the application or is material to patentability. Copies of the United States patents are enclosed herewith.

Copies of the U.S. patents and U.S. patent publications listed on the attached form PTO/SB/08a are not enclosed, in accordance with the waiver of the requirement of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 that appears in the

Official Gazette Notice (Week No. 31) dated August 5, 2003 and entitled "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003". A copy of the foreign patent publication is enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the U.S. Patent and Trademark Office in the examination of the above-identified application; and (2) printed on any patent which may issue on this application. It is also respectfully requested that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned to the undersigned with the next communication in accordance with M.P.E.P. § 609.

This Information Disclosure Statement is filed before the mailing of the first Office Action on the merits. Therefore, pursuant to 37 CFR 1.97 (b), no fees are believed due at this time. Please charge our Deposit Account No. 50-2298, in the name of Luce, Forward, Hamilton & Scripps LLP for any underpayments or credits.

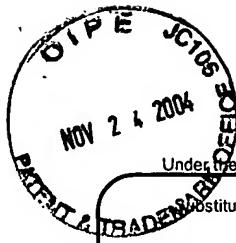
Respectfully submitted,

November 22, 2007

Date



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Institute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of 3

**Complete if Known**

Application Number	10/734,514
Filing Date	12-12-2003
First Named Inventor	Victor ESCH
Art Unit	2873
Examiner Name	Unassigned
Attorney Docket Number	PV-1020

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Number-Kind Code <sup>2</sup> (if known)					
		US- 4,253,199	03-03-1981	Banko	
		US- 4,373,218	02-15-1983	Schachar	
		US- 4,512,040	04-23-1985	McClure	
		US- 4,585,457	04-29-1986	Kalb	
		US- 4,685,921	08-11-1987	Peyman	
		US- 4,685,922	08-11-1987	Peyman	
		US- 4,693,717	09-15-1987	Michelson	
		US- 4,731,078	03-15-1988	Stoy et al.	
		US- 4,888,012	12-19-1989	Horn et al.	
		US- 4,892,543	01-09-1990	Turely	
		US- 4,902,293	02-20-1990	Feaster	
		US- 4,932,966	06-12-1990	Christie et al.	
		US- 4,950,289	08-21-1990	Krasner	
		US- 4,994,082	02-19-1991	Richards et al.	
		US- 5,213,579	05-25-1993	Yamada et al.	
		US- 5,275,623	01-04-1994	Sarfarazi	
		US- 5,489,302	02-06-1996	Skottun	
		US- 5,578,081	11-26-1996	McDonald	
		US- 5,843,188	12-01-1998	McDonald	

**FOREIGN PATENT DOCUMENTS**

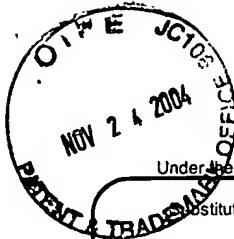
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)						
		WO 2004/010895	02-05-2004	Rayner Intraocular Lenses LTD.		

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 3

### Complete if Known

Application Number	10/734,514
Filing Date	12-12-2003
First Named Inventor	Victor ESCH
Art Unit	2873
Examiner Name	Unassigned

Attorney Docket Number PV-1020

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Number-Kind Code <sup>2</sup> (if known)					
		US- 5,984,962	11-16-1999	Anello et al.	
		US- 6,013,101	01-11-2000	Israel	
		US- 6,117,171	09-12-2000	Skottum	
		US- 6,217,612	04-17-2001	Woods	
		US- 6,299,641	10-09-2001	Woods	
		US- 6,616,691	09-09-2003	Tran	
		US- 6,638,306	10-28-2003	Cumming	
		US- 6,645,245	11-11-2003	Preussner	
		US- 6,660,035	12-09-2003	Lang et al.	
		US- 6,692,525	02-17-2004	Brady et al.	
		US- 6,695,881	02-24-2004	Peng et al.	
		US- 6,712,848	03-30-2004	Wolf et al.	
		US- 6,730,123	05-04-2004	Klopotek	
		US- 4,816,031	03-28-1989	Pfaff	
		US- 2001/0016771	08-23-2001	Cumming	
		US- 2003/0050695	03-13-2003	Lin et al.	
		US- 2003/0149480	08-07-2003	Shadduck	
		US- 2004/0006387	01-08-2004	Kelman	
		US- 2004/0015236	01-22-2004	Sarfarazi	

### FOREIGN PATENT DOCUMENTS

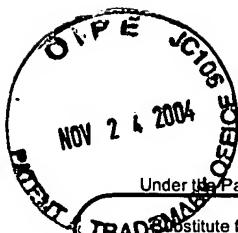
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)						

Examiner Signature	Date Considered
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**(Use as many sheets as necessary)**

Sheet 3

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## FOREIGN PATENT DOCUMENTS

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